

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400



PATENT APPLICATION

ATTORNEY DOCKET NO. 10046933-1

THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): George S. Gales, et al.

Application No.: 10/001,431

Filing Date: 10/31/2001

Title: System and Method of Defining the Security Condition of a Computer System

Confirmation of

Examiner:

Group Art Unit:

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

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JUL 30 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ( ) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- (X) under 37 CFR 1.97 (c) together with either a:  
(X) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: July 25, 2003

OR

( ) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number \_\_\_\_\_ on \_\_\_\_\_ Number of pages: \_\_\_\_\_

Typed Name: Vikki R. Colvin

Signature: Vikki R. Colvin

Respectfully submitted,

George S. Gales, et al.

By James L. Baudino  
James L. Baudino

Attorney/Agent for Applicant(s)  
Reg. No. 43,486

Date: July 25, 2003

Telephone No.: (214) 855-7544



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STATEMENT UNDER 37 CFR 1.97(e)

Sir:

The undersigned hereby certifies that either:

- (X) Each item of information contained in the Information Disclosure Statement was cited for the first time in a communication from a foreign patent office in a counterpart foreign application, not more than three months prior to the filing of the statement, or
- ( ) No item of information contained in the Information Disclosure Statement
  - was cited in a communication from a foreign patent office in a counterpart foreign application, and
  - to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the Information Disclosure Statement.

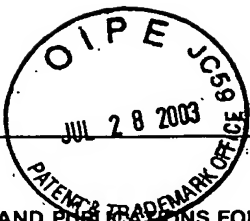
Respectfully submitted,  
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By James L. Baudino  
James L. Baudino

Attorney/Agent for Applicant(s)  
Reg. No. 43,486

Date: July 25, 2003

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**PATENT APPLICATION**

Sheet 1 of 1

FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**10016933-1**

APPLICATION NO.

**10/001,431**

CONFIRMATION NO.

APPLICANT

**George S. Gales, et al.**

FILING DATE

**10/31/2001**

GROUP

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	5,621,889	04/15/1997	Lermuzeaux et al.	
	1B	6,279,113 B1	08/21/2001	Vaidya	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

**RECEIVED****JUL 30 2003****Technology Center 2100****FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	WO02/23805A2	03/21/2002	Karakoram Limited		
	1M	WO01/84285A2	11/08/2001	Internet Security Sy		
	1N	WO02101516A2	12/19/2002	Intruvert Networks,		
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	World Wide Web, "arachNIDS - The Intrusion Event Database," at <a href="http://www.whitehats.com/ids/">http://www.whitehats.com/ids/</a> , cited pages retrieved from <a href="http://web.archive.org/web/20010616213945/www.whitehats.com/ids/">http://web.archive.org/web/20010616213945/www.whitehats.com/ids/</a> , printed on 06/16/2003, 4 pages.
	1R	World Wide Web, "Snort.org Rule Database," <a href="http://www.snort.org/snort-db/sid.html?sid=642">http://www.snort.org/snort-db/sid.html?sid=642</a> , printed 06/12/2003, 2 pages.
	1S	

EXAMINER

DATE CONSIDERED